

#### Translated by Abu Amina Elias

In the name of Allah, the Gracious, the Merciful

The following text, al-Waraqāt fī Uṣūl al-Fiqh, is a primer on the fundamentals of Islamic jurisprudence by Imām al-Ḥaramayn al-Juwaynī. The purpose of the text is to introduce students to the source methodology of Muslim scholars in deriving rules from the Quran and Sunnah.

# الورقات في أصول الفقه al-Waraqāt fī Uṣūl al-Fiqh

بِسمِ الله الرَّحمَنِ الرَّحِيمِ

In the name of Allah, the Gracious, the Merciful.

## معنى أصئول الْفِقْه

#### The meaning of Uşūl al-Fiqh

هَذِه وَرَقَات تشْتَمَل على فُصُول من أصنول الْفِقْه وَذَلِكَ مؤلف من جزأين مفردين

These pages include sections of the fundamentals of jurisprudence, which is composed of two individual parts.

The 'root' (*al-aṣl*) is that which other topics are based upon. The branch (*al-far*') is that which is based upon another topic.

Jurisprudence (al-fiqh) is knowledge of the rulings of the Law (al- $shar\overline{\iota}ah$ ) that are derived by way of independent reasoning (al- $ijtih\overline{a}d$ ).

### أنواع الحكم

### **Categories of rulings**

Rulings are seven types: obligatory (al- $w\bar{a}jib$ ), recommended (al- $mand\bar{u}b$ ), permissible (al- $mub\bar{a}h$ ), forbidden (al- $mahz\bar{u}r$ ), disapproved (al- $makr\bar{u}h$ ), sound (al- $sah\bar{\iota}h$ ), and invalid (al- $b\bar{a}til$ ).

Obligatory is an action which is rewarded if done and is punished if left out.

Recommended is an action which is rewarded if done and is not punished if left out.

Permissible is an action which is neither rewarded if done nor is punished if left out.

Forbidden is an action which is rewarded if left out and is punished if done.

Disapproved is an action which is rewarded if left out and is not punished if done.

Sound is the proper implementation of a deed and is considered acceptable.

Invalid is the improper implementation of a deed and is not considered acceptable.

## الْفرق بَين الْفِقْه وَالْعلم وَالظَّن وَالشَّكِّ

#### Difference between jurisprudence, knowledge, suspicion, and doubt

Jurisprudence is more specific than knowledge. Knowledge is to recognize what is known as it exists in reality. Ignorance is to imagine something differently than it is in reality.

Unavoidable knowledge is what does not result from reflection or inference, such as knowledge of reality by one of the five senses, which are hearing, seeing, smelling, tasting, and touching, or by unanimous reports (*al-tawātir*).

As for acquired knowledge, it is dependent upon reflection and inference. Reflection is thought upon the situation under reflection. Inference is seeking an indication. An indication guides to what is sought, as it points to it.

Suspicion is the presence of two possibilities, one of which is more likely than the other.

Doubt is the presence of two possibilities, neither of which is more likely than the other.

The knowledge of the fundamentals of jurisprudence are its general methods and its mode of drawing inferences.

### أَبْوَابِ أَصُولِ الْفَقْهِ

#### Topics of Uṣūl al-Fiqh

وأبواب أصُول الْفَقْه أَقسَام الْكَلَام وَالْأَمَر وَالنَّهْي وَالْعَام وَالْخَاص والمجمل والمبين وَالظَّاهِر والمؤول وَالْأَفْعَال النبي صلى الله عليه وسلم والناسخ والمنسوخ وَالْإِجْمَاع وَالْأَخْبَار وَالْقِيَاس والحظر وَالْإِبَاحَة وترتيب الله عليه وسلم وأَحْكَام الْمُجْتَهدين الْإَجْمَاع وَالْأَخْبَار وَالْقِيَاس والحظر وَالْإِبَاحَة وترتيب الْأَدِلَة وَصِفَة الْمُفْتَى والمستفتى وَأَحْكَام الْمُجْتَهدين

The topics of the fundamentals of jurisprudence are the types of statements, commands and prohibitions, general and specific, ambiguous and clear, apparent and interpreted, actions of the Prophet, peace and blessings be upon him, abrogating and abrogated, consensus, reports, analogy, the basis of forbidden and permissible, priority of evidences, characteristics of the legal expert, characteristics of one seeking a verdict, and the rules of independent legal reasoning.

### أقسنام الْكَلَام

## **Types of statements**

As for the types of speech, the smallest units from which a sentence is composed are two nouns, or a noun and a verb, or a verb and a particle, or a noun and a particle.

Speech is divided into commands and prohibitions, declarations and questions. It is also divided into exclamations, suggestions, and oaths.

From another point of view, it is divided into literal and figurative speech. Literal speech is that which is used in its ordinary meaning, or it is said that it is used as a specific term known to its audience. Figurative speech is what goes beyond its ordinary meaning.

Literal speech can be either linguistic, legal, or customary. Figurative speech can be by an addition, deletion, transfer, or metaphor.

Figurative by addition is like the saying of Allah Almighty, 'There is nothing like unto Him,' (42:11) in which an additional 'like' is added to emphasize the meaning.

Figurative by deletion is like the saying of Allah Almighty, 'Ask the village,' (12:82) meaning ask the people of the village.

Figurative by transfer is like the word 'gully'  $(gh\bar{a}'it)$  to refer to what exits the human body, as the original linguistic meaning has been changed to another obscure meaning.

Figurative by metaphor is like the saying of Allah Almighty, 'A wall wanting to collapse...' (18:77) as an inanimate thing has been compared to something else.

## الْأُمر وَالنَّهٰى

### Commands and prohibitions

The command is a call to action by words to a subordinate, in the manner of an obligation.

Its grammatical form is, 'Do!' (*if'al*). It is understood to be in an absolute sense when it has no other mitigating factor to interpret it, unless there is an indication to convey the meaning recommendation or permission. It does not necessitate repetition, according to the correct opinion, unless there is an indication to convey the purpose of repetition. Neither does it necessitate immediacy.

وَالْأَمر بِإيجاد الْفِعْل أَمر بِهِ وَبِمَا لَا يتم الْفِعْل إِلَّا بِهِ كالأمر بِالصَّلَاةِ فَإِنَّهُ أَمر بِالطَّهَارَةِ المؤدية إِلَيْهَا وَإِذا فعل يخرج الْمَأْمُورِ عَن الْعهْدَة

The command to produce an action is a command to do it and whatever is done to complete it, such as the command to pray, as it is also a command to perform purification prior to it. If the action is done, the one commanded is absolved of further responsibility.

The address of Allah Almighty includes the believers (men and women). As for the forgetful, adolescents, and the insane, they are not being addressed.

The unbelievers are addressed with the branches of the Law and with what is required for its soundness, which is Islam, as in the saying of the Almighty, 'What caused you to enter fire? They will say: We were not among those who prayed.' (74:42-43)

The command to do something is a prohibition of doing its opposite, and a prohibition of something is a command to do its opposite.

The prohibition is a call to leave an action by words to a subordinate, in the manner of an obligation and which indicates the moral corruption of what is prohibited.

The grammatical form of the command might be used but its intention is to convey permissibility, a rhetorical threat, equality between two actions, or transformation into something else.

### الْعَام وَالْخَاص

### General and specific

As for the general meaning, it is what generalizes two or more things. It comes from the phrase, 'I included Zayd and Umar in the gift,' and, 'I included all people in the gift.'

و ألفاظ أَرْبَعَة الاِسْم الْوَاحِد الْمُعَرِّف بِالْألف وَاللَّام وَاسم الْجمع الْمُعَرِّف بِاللَّامِ والأسماء المبهمة كمن فِيمَن يعقل وَمَا فِي الإسْتِفْهَام وَالْجَزَاء وَغَيره وَ لَا يعقل وَمَا فِي الإسْتِفْهَام وَالْجَزَاء وَغَيره وَ لَا فِي النكرات فِي النكرات

Its wordings are four: a single noun with the definite article, plural nouns with the definite article, undefined nouns such as 'whoever' for animate beings, 'whatever' for inanimate beings, 'whichever' for anything, 'wherever' for place, 'whenever' for time, 'whatever' for interrogatives, consequences, and other things, and 'no' for indefinite nouns.

Generality is a characteristic of speech. It is not permissible to claim general application in actions which are not so and in whatever has a defined application.

Specific is the opposite of general. Specification is distinguished by part of the sentence. It is divided into dependent and independent clauses.

The dependent clause can be an exception, a restriction to conditions, and a restriction to characteristics.

An exception is excluding what would otherwise be included in the statement. It is only sound on condition that something remains for which the exception is made and on condition that it is connected to the statement.

It is possible for an exception to be stated before that for which it is made, and it is possible for a category to be excepted from a different category.

Conditions can potentially be stated after mentioning what is made conditional, and potentially before mentioning what is made conditional.

That which is restricted to a characteristic is given the same interpretation as if it were unqualified, such as a slave characterized by faith in some places and unqualified in other places. The unqualified is interpreted as though it were qualified by the characteristic.

It is possible for the Book (the Quran) to be specified by the Book, for the Book to be specified by the Sunnah, for the Sunnah to be specified by the Book, for the Sunnah to be specified by the Sunnah, and for statements to be specified by analogy. What we mean by 'statements' are the sayings of Allah Almighty and His Messenger, peace and blessings be upon him.

### المُجْمل والمبين

#### Ambiguous and clear

The ambiguous is what lacks clarity. The clear is what turns something vague into something evident. The decisive text (*al-naṣṣ*) is what cannot be interpreted except with one meaning, or it is said that it is interpreted as it was revealed.

### الظَّاهِر والمؤول

## Apparent meaning and interpretation

The apparent meaning is what can potentially be interpreted in two ways, one of which is more obvious than the other. The apparent meaning is interpreted differently if there is an indication to do so, which is called 'apparent by indication.'

### الْأَفْعَالِ النبي صلى الله عليه وسلم

Actions of the Prophet, peace and blessings be upon him

The actions of the law-giver, peace and blessings be upon him, are not vacuous, whether they are from the perspective of worship, obedience, or something else.

If there is an indication demonstrating the action is specific to him, then it is interpreted as specific to him.

If there is no indication, it is not specific to him as Allah Almighty says, 'Certainly in the Messenger of Allah you have an excellent example,' (33:21).

It is interpreted as an obligation, according to some of our scholars. Among our scholars are some who said it should be interpreted as a recommendation, and among them are those who suspended judgment.

If it were from a perspective other than worship and obedience, it is interpreted as permissible.

The tacit approval given by the law-giver to a statement issued by anyone is itself a statement of the law-giver. The tacit approval of an action is his action.

What was done in his time but not in his presence, which he knew about and did not reject, is judged as if it were done in his presence.

### النسخ

#### **Abrogation**

وَأَمَا النَّسِخ فَمَعْنَاه لُغَة الْإِزَالَة وَقيل مَعْنَاهُ النَّقْل مِن قَوْلهم نسخت مَا فِي هَذَا الْكتاب أي نقلته

As for abrogation (*al-naskh*), its linguistic meaning is 'removal' or it is said its meaning is 'transfer,' from their phrase, 'I abrogated what was in this book,' that is, I transferred it.

Its definition is an address indicating the lifting of a ruling established by a previous address, without which it would remain in effect.

It is possible for writing to be abrogated but the ruling to remain, or for the ruling to be abrogated but the writing to remain.

Abrogation can be by replacement or without replacement, and to what is stricter or to what is more lenient.

It is possible for the Book to be abrogated the Book, the Sunnah to be abrogated by the Book, and the Sunnah to be abrogated by the Sunnah.

It is possible for a unanimous report to be abrogated by another unanimous report, and a solitary report  $(al-\bar{a}h\bar{a}d)$  to be abrogated by another solitary or unanimous report. It is not possible for a unanimous report to be abrogated by a solitary report.

If two statements contradict each other, they are inevitably either two general statements, two specific statements, one is general and the other is specific, or each of them is general from one perspective and specific from another perspective.

If they are two general statements that can be reconciled, they are reconciled. If they cannot be reconciled, judgment is suspended if their historical order is not known. If the historical order is known, the previous statement is abrogated by the later statement. It is the same if they are two specific statements.

If one of them is a general statement and the other is a specific statement, the general statement is restricted by the specific statement. If one of them is general from a perspective and specific from another perspective, the generality of each is restricted by the specification of the other.

## الإجْمَاع

#### **Consensus**

وَإِمَّا الْإِجْمَاع فَهُوَ اتِّفَاق عُلَمَاء الْعَصْر على حكم الْحَادِثَة ونعني بالعلماء الْفُقَهَاء ونعني بالحادثة الْحَادِثَة الْحَادِثَة الْمَادِثَة الْمُعْرَادِقُة الْمَادِثَة الْمَادِثَة الْمَادِثَة الْمُعْرَادِيْنَة الْمُعْرَادِيْنَة الْمُعْرَادِثَة الْمُعْرِدُ الْمُعْرَادِيْنَة الْمُعْرَادِثَة الْمُعْرَادِثَة الْمُعْرَادِثَة الْمُعْرَادِثَة الْمُعْرَادِقُونَا الْمُعْرَادِقُونُ الْمُعْرَادِقُونَا الْمُعْرَادِقُونُ الْمُعْرَادِقُونَا الْمُعْرَادِقُونُ الْمُعْرَادِيْنَا الْمُعْرَادِيْنَ الْمُعْرَادِقُهُ الْمُعْرَادِيْنَة الْمُعْرَادِقُونُ الْمُعْرَادِقُونُ الْمُعْرَادِقُونَا عَلَيْنَا الْمُعْرَادِقُونَا الْمُعْرَادِقُونِ الْمُعْرَادِقُونَا الْمُعْرَادِقُونَا الْمُعْرَادِقُونَا الْمُعْرَادِقُونَا الْمُعْرَادُونَا الْمُعْرَادِقُونَا الْمُعْرَادِقِيْنَالِيْعِلْمُ الْمُعْرَادِقُونَا الْمُعْرَادِقُونَا الْمُعْرَادِقِيْنَالِقُونَا الْمُعْرَادِقُونَا الْمُعْرَادِقِيْنَالِقُونَا الْمُعْرَادِقِيلِيْنَالِعْلِيْنِ الْمُعْرَادِقِيلِيْنِ الْمُعْرَادِقِيلِيْنَالِي

As for consensus (al- $ijm\bar{a}$ ), it is the agreement of the scholars of an era on a new ruling. By 'scholars' we mean 'jurists' and by 'new' we mean a new legal issue.

The consensus of this nation is a proof in itself, due to his saying, peace and blessings be upon him, 'My nation will not gather together upon misguidance.' The law has related the immunity of this nation from error (in consensus).

Consensus is a binding proof for the following generation and in each generation thereafter. It is not a condition that the first generation pass away, according to the correct opinion.

If we say that the passing of the first generation is a condition, the opinion of one would be considered who was born in their lifetimes, acquired legal knowledge, and became a legal expert, that they may retract this ruling.

Consensus is valid by their statements and actions, or the widespread statements and actions of some of them while the remaining jurists are silent. The statement of a single companion is not a binding proof over others, according to the new school of al-Shāfi'ī.

#### الْأَخْبَار

#### **Reports**

As for reports, they are what has been narrated, including what is true and what is false. They are divided into two categories: solitary reports and unanimous reports.

Unanimous reports necessarily result in accurate knowledge. They are reported by groups who could not have conspired upon a lie, from those similar to them up to the end of transmission, and being what was originally witnessed or heard, not from independent reasoning.

Solitary reports are those which are acted upon but which do not necessarily result in accurate knowledge. They are divided into unattributed (*mursal*) and attributed transmissions (*musnad*).

The attributed transmission is fully connected in its chain of narrators. The unattributed report is not fully connected in its chain of narrators.

If unattributed reports are from those besides the companions, they are not a binding proof unless they are the reports of Sa'īd ibn al-Musayyib, as they have been investigated and found to be attributable to the Prophet, peace and blessings be upon him.

Reports with imprecise transmission terminology (saying 'from' or 'an) are included in attributed reports.

If the Shaykh reads a report, it is permissible for the narrator to say 'he narrated to me,' (haddathanī) or 'he informed me,' (akhbaranī). If the narrator reads a report to the Shaykh, he says 'he informed me' and he does not say 'he narrated to me.' If the Shaykh grants him license without reading, he says 'he granted me license' or 'he informed me by license.'

#### الْقيَاس

## Analogy

وَ أما الْقيَاسِ فَهُوَ رِد الْفَرْعِ إِلَى الأَصل بعلة تجمعهما فِي الحكم وَهُوَ يَنْقَسِم إِلَى ثَلَاثَة أَقسَام إِلَى قِيَاسِ عِلّة وَقِيَاسِ دَلَالَة وَقِيَاسِ شبه

As for analogy, it is referring a derived case back to the original case through an effective cause ('illah) that connects them in the ruling. It is divided into three categories: analogy by effective cause, analogy by indication, and analogy by similarity.

Analogy by effective cause contains an effective cause that necessitates the ruling.

Analogy by indication is inferred by one of two identical cases from the other. It is that the effective cause indicates the ruling but does not necessitate the ruling.

Analogy by similarity is a derived case relatable to two original cases. It is not resorted to if the previous types of analogy are possible.

Among the conditions of the derived case is that it be suitable to the original case. Among the conditions of the original case is that it be established by evidence and agreed upon by conflicting parties.

Among the conditions of the effective cause is that it be continuous in its effects, such that it does not alter its wording or meaning.

Among the conditions of the ruling is that it be similar to the effective cause in negation or affirmation, that is, in its existence or non-existence. If the effective cause is found, the ruling is found. The effective cause is what results in the ruling.

## الْحَظْر وَالْإبَاحَة

#### Forbidden and permissible

As for forbidden and permissible, among people are those who say that things are forbidden except if the law permits them. If no indication is found in the law to permit them, the default position is adhered to, which is that it is forbidden.

And among people are those who say the opposite, which is that the default position of things is permission except if the law forbids them.

### استتصنحاب المحال

## **Presumption of continuity**

The meaning of 'presumption of continuity' ( $istish\bar{a}b \ al-h\bar{a}l$ ) which is binding is that the default position (al-asl) is taken in the absence of legal evidence.

## تَرْتِيبِ الْأَدِلَّة

#### **Priority of evidences**

وَأَما الْأَدِلَة فَيقدم الْجَلِيّ مِنْهَا على الْخَفي والموجب للْعلم على الْمُوجب للظن والنطق على الْقيَاس وَالْقِيَاس الْجَلِيّ على الْخَفي الْجَلِيّ على الْخَفي

As for evidences, precedence is given to the obvious over the obscure, to certain knowledge over certain suspicion, to transmission over analogy, and to obvious analogy over obscure analogy.

If a statement is found which changes the default position, the statement is acted upon, otherwise continuity is presumed.

### شروط المفتي

#### Requirements of the legal expert

وَمن شَرط الْمُفْتِي أَن يكون عَالما بالفقه أصلا وفر عا خلافًا ومذهبا وَأَن يكون كَامِل الْأَدِلَّة فِي الإجْتِهَاد عَارِفًا بِمَا يحْتَج إِلَيْهِ فِي استنباط الْأَحْكَام وَتَفْسِير الْآيَات الْوَارِدَة فِي الْأَحْكَام وَالْأَخْبَار الْوَارِدَة فِيهَا

Among the conditions of the legal expert are that he is knowledgeable in jurisprudence, its roots, its branches, its disagreements, and its doctrines, that he is completely aware of the indications of independent legal reasoning, recognizing what is needed to derive rulings, the exegesis of verses related to the rulings, and the reports related to them.

### شروط المستفتي

### Requirements of one seeking a verdict

وَمن شُرُوط المستفتي أَن يكون من أهل التَّقْلِيد فيقلد المفتي في الفتيا وَلَيْسَ للْعَالم أَن يُقَلِّد والتقليد قبُول قَول الْقَائِل بِلَا حجَّة

Among the conditions of one seeking a verdict is that he is among those incapable of independent legal reasoning, so he conforms to the legal expert in his verdict. It is not for the scholar to conform to others. Legal conformity (*al-taqlīd*) is to accept the opinion of someone without knowing its proof.

فعلى هَذَا قَبُول قَول النَّبِي صلى الله عَلَيْهِ وَسلم يُسمى تقليدا

Based on this, accepting the statement of the Prophet, peace and blessings be upon him, is called conformity.

Among them are those who said legal conformity is to accept the opinion of someone without knowing on what basis he said it.

If we say that the Prophet, peace and blessings be upon him, spoke by analogy, it is permissible to refer to acceptance his statement as conformity.

#### الإجتهاد

#### **Independent legal reasoning**

As for independent legal reasoning (al- $ijtih\bar{a}d$ ), it is to expend the sum of one's efforts in achieving the objective. If the expert jurist has mastery over the mechanisms of legal reasoning in secondary issues and his verdict is correct, he will have two rewards. If he reasons to a verdict and is mistaken, he will have one reward.

It is not possible that every expert jurist is correct in foundational theological issues, for that would lead to declaring the people of misguidance to be correct, such as pagans, unbelievers, and heretics.

The evidence of those who say that not every expert jurist is correct in secondary issues is <u>his saying</u>, peace and blessings be upon him, "Whoever strives in his reasoning and is correct, he will have two rewards. Whoever strives in his reasoning and is mistaken, he will have one reward."

The view of the evidence is that the Prophet, peace and blessings be upon him, declared an expert jurist to be mistaken sometimes and to be correct other times.

Success comes from Allah, and Allah knows best.